



## Modelling the surface and subsurface Martian radiation environment: Implications for astrobiology

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[1] The damaging effect of ionising radiation on cellular structure is one of the prime limiting factors on the survival of life in potential astrobiological habitats. Here we model the propagation of solar energetic protons and galactic cosmic ray particles through the Martian atmosphere and three different surface scenarios: dry regolith, water ice, and regolith with layered permafrost. Particle energy spectra and absorbed radiation dose are determined for the surface and at regular depths underground, allowing the calculation of microbial survival times. Bacteria or spores held dormant by freezing conditions cannot metabolise and become inactivated by accumulating radiation damage. We find that at 2 m depth, the reach of the ExoMars drill, a population of radioresistant cells would need to have reanimated within the last 450,000 years to still be viable. Recovery of viable cells cryopreserved within the putative Cerberus pack-ice requires a drill depth of at least 7.5 m. **Citation:** Dartnell, L. R., L. Desorgher, J. M. Ward, and A. J. Coates (2007), Modelling the surface and subsurface Martian radiation environment: Implications for astrobiology, *Geophys. Res. Lett.*, 34, L02207, doi:10.1029/2006GL027494.

### 1. Introduction and Background

[2] The Martian surface is today a cold barren desert. Even during summer in the midlatitudes the ground temperature lies between 230 K and 180 K [Carr, 1996]. This coupled with an atmospheric pressure of only 600 Pa at the reference datum altitude means that surface water is unstable as a liquid. Extensive evidence for the primordial planet being warmer and wetter [Squyres and Kasting, 1994], however, supports the possibility of a Martian genesis of life. Microbes may remain on the surface in cryptoendolith niches [Cockell and Raven, 2004], permafrost water ice [Schulze-Makuch *et al.*, 2005], or active in deep aquifer ecosystems [Boston *et al.*, 1992]. In addition to temperature and liquid water stability, another critical hazard for near-surface life is the radiation environment. This study constitutes the most accurate model to date of the subsurface ionising radiation environment, as a function of both depth and surface composition, and its influence on the survival of different microbes.

[3] Solar UV rapidly deactivates unshielded cells [Cockell and Raven, 2004], and is also believed to have

created highly oxidising surface conditions that destroy organic molecules in the topsoil. The Viking lander probes found no trace of organic compounds, not even at the level expected from meteoritic infall [Yen *et al.*, 2000]. UV penetrates only millimetres into regolith [Cockell and Raven, 2004], but there is estimated to be around a meter's depth of loose topsoil [Soderblom *et al.*, 2004] within a portion of which wind-mixing of oxidants is expected to present a serious biological hazard. Beyond the penetration depth of UV the ionising radiation of solar energetic protons (SEP) and galactic cosmic rays (GCR) dominate. SEP are accelerated by flares and coronal mass ejections (CME), typically up to several hundred MeV, and so the flux is dependent on the 11-year solar activity cycle. The peak flux of GCR particles, at around 500 MeV/nucleon, is about four orders of magnitude lower than SEP but the power law tail of the spectra extend up to  $10^{20}$  eV at extremely low fluxes. The GCR spectrum is composed of 85% protons, 14% alpha, and a small fraction of heavy ions (fully ionised atomic nuclei), and is thought to be mainly accelerated by Type II supernovae. GCR below about 1 GeV/nucleon are modulated by the heliosphere [Klapdor-Kleingrothaus and Zuber, 2000] so their flux is anticorrelated with the solar activity cycle.

[4] SEP are deflected by the geomagnetic field and attenuated by Earth's atmosphere, but the Martian surface is unprotected by a global dipole magnetic field or sufficient atmospheric shielding. The more energetic GCR produce extensive showers of secondary particles in the terrestrial atmospheric column. When a GCR strikes an atmospheric nucleus energetic secondary mesons (pions and kaons), nucleons, gammas and nuclear fragments are produced, which then interact with other nuclei. Secondary mesons decay over a short timescale to produce muons, gamma rays and electrons. Thus the air shower is composed of a central 'hard component' core of nuclear fragments within a spreading 'soft component' cone of the electromagnetic cascade [Eidelman *et al.*, 2004]. The flux of secondaries builds with increasing shielding depth until the Pfozter maximum, after which the average particle energy is below the threshold for new particle production and the cascade steadily decays. On Earth, this Pfozter maximum occurs at an altitude of around 15 km; roughly the cruising altitude of Concorde. Similarly-structured cascades occur in the top meters of the Martian regolith.

[5] The ionising radiation field produced by SEP and GCR is harmful to life [Nelson, 2003] through both direct and indirect mechanisms. Direct damage occurs when deposited energy excites electrons within biomolecules, leading to ionisation or radiolysis. However, radiation primarily interacts with water as it comprises 40–70% of cells and 20% in bacterial spores, and this creates highly-

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reactive species such as the hydroxyl radical or hydrogen peroxide that then diffuse and attack biomolecules [Baumstark-Khan and Facius, 2001]. The amount of energy deposited by ionising radiation in the target material per unit mass is measured in J/kg, or Grays (Gy). Bacteria such as *E. coli* are rapidly inactivated by less than a hundred Gy of gamma radiation, but radioresistant species are known. Gamma-irradiated populations of *Deinococcus radiodurans* can survive 5 kGy without measurable loss of viability despite massive DNA fragmentation [Cox and Battista, 2005], and 1% survival is still found after 10 kGy. This remarkable resilience is believed to be mainly due to more efficient enzymatic DNA repair and recovery mechanisms [Battista, 1997]. A passive resistance to radiation is exhibited by the spores of *Bacillus subtilis*. These show no detectable metabolism, but resistance to heat, chemical toxins, gamma radiation and UV is conferred by the largely desiccated interior and a set of small bound proteins protecting the DNA [Setlow, 1994].

[6] Different particles of ionising radiation are not equally hazardous to life. Gamma radiation is weakly ionising, and the energy of a given dose is deposited uniformly throughout the target. The protons and high-charge/high-energy (HZE) ions of the hadronic cascade, however, are highly ionising and deposit energy in a dense track. HZE flux can cause clusters of multiple breaks in DNA strands and is therefore particularly hazardous to cellular survival. The original formulation of absorbed dose does not take into account the dependence of biological effect on the distribution of energy deposition, and various methodologies have arisen to describe the enhanced deleterious effect of HZE particles. This study uses weighting by the relative biological effectiveness (RBE), defined as the dose of a reference radiation (usually gamma or X-rays) required to produce the same biological effect as a dose of the test radiation [Baumstark-Khan and Facius, 2001].

[7] No particle detector has been landed on the Martian surface and so computer modelling is crucial in determining the radiation environment both on the ground and beneath. Work has so far focussed on calculating the surface radiation environment [Molina-Cuberos et al., 2001] and especially the doses absorbed by astronauts during surface exploration or within habitats protected by regolith-derived shielding of variable thickness [Simonsen and Nealy, 1991; Kim et al., 1998; Saganti et al., 2004]. Only limited investigation has yet been performed on the effects to microbial life as a function of depth in the regolith [Mileikowsky et al., 2000; Pavlov et al., 2002]. These aforementioned previous studies use analytic approximations to particle propagation based on the integrodifferential Boltzmann transport equations. Such codes generally necessitate simplifications including target homogeneity, normally incident particles, disregarding the cascade angular spread, and neglecting the generation and propagation of certain secondary particle types (including the extensive showers of gamma, electrons and pions).

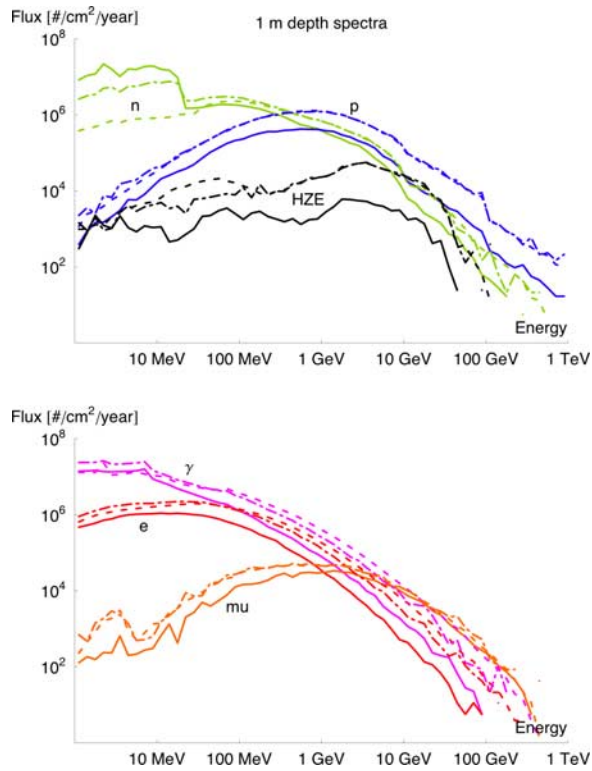
## 2. Method

[8] This research employs Geant4 [Agostinelli et al., 2003] to perform a Monte Carlo (MC) simulation of the entire secondary cascades within a full 3D environment,

allowing a precise specification of the geometry, atmospheric and regolithic spatial heterogeneity, reproduction of particle scattering and the actual isotropic angular distribution of incoming primary particles. The Geant4 physics code performs well in validation tests against experimental data [e.g. Amako et al., 2005; Beringer et al., 2003], with the specific physics description used here from PLANETOCOSMICS (<http://cosray.unibe.ch/~laurent/planetocosmics/>). This is the first study to perform such a complete MC simulation of the Martian subsurface radiation environment.

[9] The model comprises a 70 km atmospheric column atop 20 m of surface material. The current atmosphere is accurately reproduced in terms of composition, and pressure, density and temperature profiles, with data taken from the Mars Climate Database [<http://www-mars.lmd.jussieu.fr/>] to represent noon on a Summer day ( $L_s = 270-300$ ) within Arabia Terra. This season represents the annual peak for atmospheric density, and the location selected as it lies at the reference altitude with a surface pressure of 600 Pa. Three distinct regolith scenarios were examined. Model (1), 'Dry Homogenous' or DH, is the simplest case and was constructed to allow comparison of results with previous publications. It contains a spatially homogenous  $2.8 \text{ g/cm}^3$  block of regolith, devoid of water, and with an elemental composition taken as the mean of Pathfinder analyses of soil samples [Wänke et al., 2001]. Model (2), 'Pure Ice' or PI, is a  $1 \text{ g/cm}^3$  block of pure water ice used to emulate environments such as the north polar ice cap, frozen crater lakes or the putative Cerberus pack-ice [Murray et al., 2005]. Model (3), 'Wet Heterogeneous' or WH, was built as a more realistic approximation of the Martian regolith containing permafrost, stratified into a 25 cm layer of  $1.1 \text{ g/cm}^3$  topsoil 2% water by weight, a 75 cm thick layer of  $1.1 \text{ g/cm}^3$  topsoil with 16% water, and the bottom 19 m as  $3 \text{ g/cm}^3$  regolith with 16% water. This layering reproduces the model of subsurface permafrost in Arabia Terra based on neutron backscatter data [Mitrofanov et al., 2004], but is also a good approximation of ice-laden regolith in high latitudes. The elemental compositions of topsoil and regolith strata were taken as the Pathfinder average soil and calculated soil-free rock [Wänke et al., 2001] respectively, although previous studies have found the exact elemental composition to have negligible effect on the shielding properties of regolith [Kim et al., 1998]. These scenarios were chosen for this present study, but the model can be rapidly reconfigured to simulate any atmospheric condition or surface composition, as well as deflection through magnetic fields such as the crustal anomalies.

[10] GCR primary spectra for  $Z = 1-26$  (protons to iron nuclei) were taken from the CREME96 model [<https://creme96.nrl.navy.mil/>] under solar minimum conditions to represent a worse-case scenario for GCR flux, and extrapolated to 1 TeV/nucleon. SEP flux over 10–200 MeV was taken from the SPENVIS database [<http://www.spENVIS.oma.be/>], adjusted to Martian orbit and averaged over an entire 11-year solar activity cycle. Data from H, He, and C primaries were weighted by energy integration and combined to model the entire GCR spectra 100 MeV/nuc - 1 TeV/nuc. Particle energy spectra were recorded at the surface and regular intervals through the regolith along with the energy deposited within a micron-thick layer of water to give the



**Figure 1.** Energy spectra of six most important particles at 1 m depth. Surface model indicated as follows: DH (solid), PI (dashed), and WH (dot-dash).

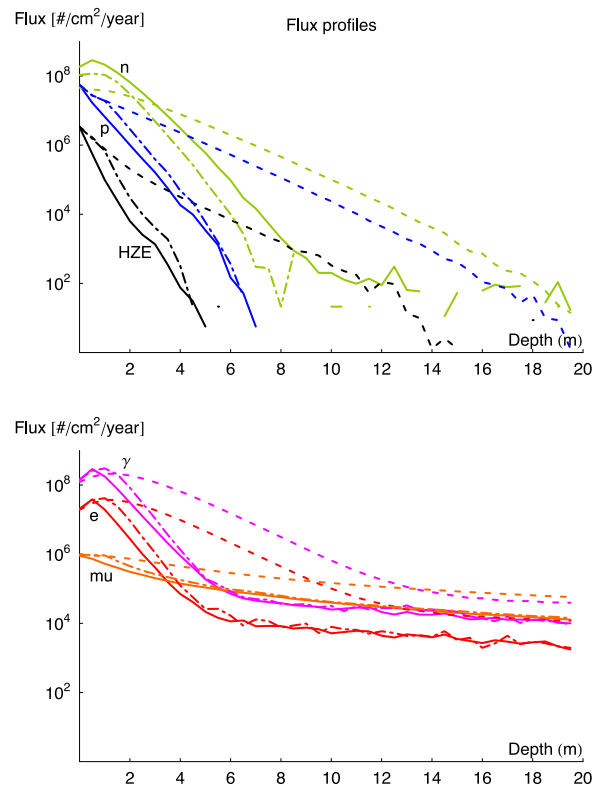
microbial absorbed dose, which was also biologically weighted according to particle type and energy using reasonable RBE values [International Commission on Radiological Protection, 1990] as previously demonstrated [Pavlov et al., 2002]. Complete inactivation of a microbial population was taken at the accumulated dose yielding a  $10^{-6}$  survival fraction; extrapolated to be 1 kGy and 15 kGy for *E. coli* and *D. radiodurans* respectively [Battista, 1997], and 8.4 kGy for *B. subtilis* spores [Baumstark-Khan and Facius, 2001]. These three organisms are taken as models of non-radioresistance, radioresistance through improved cellular repair and recovery mechanisms, and passive resistance through sporulation, respectively. They are reasonable representatives of possible Martian microbes assuming evolution of a comparable biochemistry or panspermic cross-fertilisation of Mars and Earth, but are also relevant to the issue of planetary protection and survival of human-delivered contaminant cells.

### 3. Results

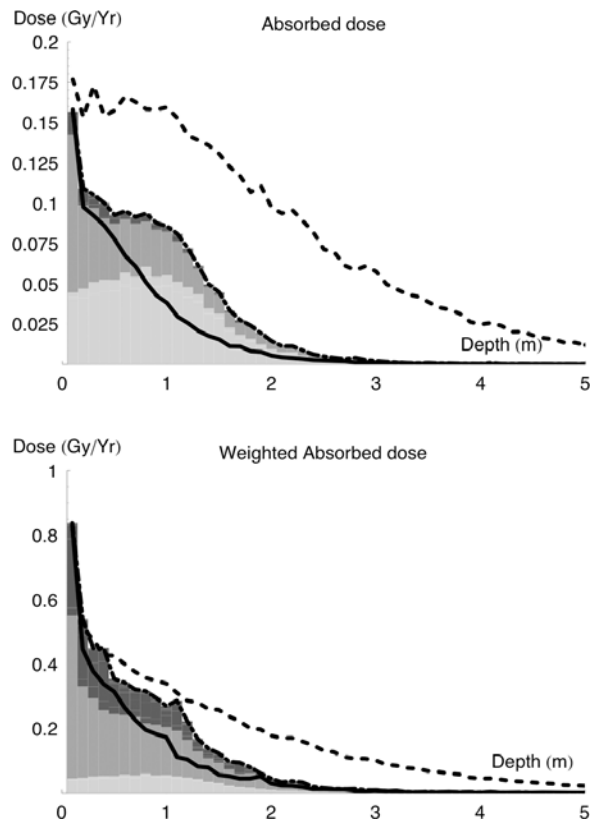
[11] The surface flux of protons, HZE and muons is invariant on the ground model, as expected since this hard component of the cascade does not backscatter and so differences in regolith composition have no effect on surface flux. The DH model finds 56% of surface neutrons <450 MeV are backscattering, however. Figure 1 shows the energy spectra at 1 m depth across six orders of magnitude (1 MeV to 1 TeV) of the most important particle species, protons, HZE, neutrons, gamma, electrons/positrons and muons, although it should again be noted that the MC methodology employed here generates and tracks all sec-

ondary particles in both the hadronic and electromagnetic cascades. The lower energy neutron spectra can be seen to be strongly dependent on the regolith model. This is due to the efficient moderation and capture of neutrons by the high hydrogen content of water. The de-excitation of hydrogen nuclei following thermal neutron capture produces a 2.2 MeV gamma, and this distinctive signal is clearly seen in the PI gamma line in Figure 1. By 1 m depth, high energy HZE have been strongly absorbed by the regolith, with the lower energies showing least reduction from the primary spectrum due to regeneration by nuclear fragmentation within the hadronic cascade. These energy spectra are not specific to microbial targets and so can also be used to calculate the dose absorbed by astronauts within habitats protected by regolith-derived shielding.

[12] Figure 2 shows the flux of proton, HZE, neutron, muon, gamma and  $e^-/e^+$  secondaries as a function of depth. Both hadronic and electromagnetic cascades can be seen to be attenuated least by the PI model, due to the lower shielding density of the ice target. Neutron flux, however, is attenuated quickest within the WH regolith as this shielding material is both hydrogenous and dense. Pfozter maxima are observed within the gamma and electron depth-profiles of all three regolith models, occurring 1 m deeper in PI than the more dense DH target. In all regolith scenarios the gamma flux declines until it meets the muon profile, with these weakly-interacting secondaries penetrating at appreciable levels to the full 20 m depth modelled. The electron flux follows at a factor of five lower as they are produced by muon decay and gamma pair-production. On



**Figure 2.** Attenuation with depth for (top) hadronic core, and (bottom) muons and electromagnetic cascade. Surface model indicated as follows: DH (solid), PI (dashed), and WH (dot-dash).



**Figure 3.** The decline in (top) absorbed dose and (bottom) biologically-weighted dose, with depth. Shown for all three surface models: DH (solid), PI (dashed), and WH (dot-dash); and energy deposition within WH model segmented into muon and  $e^-/e^+$  (light gray), proton (medium gray), and HZE (dark gray).

average, a microbial cell (taken to have cross-sectional area of  $10^{-12} \text{ m}^2$ ) on the surface is traversed by an HZE ( $Z \geq 2$ ) every 30 years, and every 145 years 1 m deep in WH.

[13] Figure 3 (top) plots the annually-accumulated absorbed dose as a function of depth for all three surface models, and Figure 3 (bottom) the biologically-weighted dose. The surface weighted dose is almost 0.85 Gy/year for all three models, of which in DH 20% is mediated by backscattering particles and 35% contributed from SEP. A single flare can deliver several percent of the average annual fluence in a matter of hours. The proton spectrum recorded from the very hard January 20th 2005 event [Mewaldt et al., 2005] was corrected for Martian orbit and run through the model. The calculated surface dose rate approaches  $1 \times 10^{-3} \text{ Gy/hr}$ . Although present at a high flux, SEP are not particularly energetic and penetrate less than 10 cm in the two rocky surfaces and less than 20 cm in the PI model. A segmented bar chart showing the dose contributions from different particles has been overlain the curve for the WH model. Energy deposition by all particles is included, but HZE, protons, muons and  $e^-/e^+$  dominate. The absorbed dose graph shows the surface spike from SEP flux, with the proton influence steadily diminishing with depth, and muon and  $e^-/e^+$  deposition becoming increasingly dominant in the radiation field. Averaged over the top meter of WH regolith HZE particles contribute only 7% of the absorbed dose, but due to their disproportionately deleterious effect at the

cellular level make up 31% of the weighted absorbed dose as seen in Figure 3 (bottom). Using the annual accumulation of weighted dose it is possible to determine the population survival times (defined above as a million-fold decrease in viable cell number) for each of the three model organisms as a function of depth within each of the surface scenarios, as shown in Table 1.

[14] Verification of these dose-profile results against other studies was performed using the DH regolith scenario and considering only GCR primaries. The surface weighted dose of 0.54 Gy/year corresponds well with the findings of Mileikowsky et al. [2000] and Pavlov et al. [2002], although as expected is higher due to the inclusion of backscattering and electromagnetic cascade particles.

#### 4. Discussion and Conclusions

[15] With a peak biologically-weighted dose rate of  $\sim 0.85 \text{ Gy/year}$  at no point is the ionizing radiation environment on or beneath the Martian surface lethal to even radiosensitive terrestrial bacteria. For comparison, the most intense natural radiation environment on Earth is reported to be the monazite sand deposits along certain beaches in Brazil with a dose rate of 0.4 Gy/year [Baumstark-Khan and Facius, 2001], corresponding to a depth of about 20 cm in the WH regolith. Terrestrial species such as *D. radiodurans* can recover from doses four-orders of magnitude greater than this annual level with no detectable loss in viability [Battista, 1997]. Extreme radioresistance of terrestrial bacteria is therefore not thought to have evolved as an adaptation to the natural radiation environment per se, but as a side-effect of desiccation resistance [Battista, 1997], and there is no reason Martian microbes might not have evolved even greater radioresistance.

[16] Only metabolically active cells, however, are able to repair radiation damage and reproduce. The current freezing conditions in the near subsurface imply that any extant life will be held dormant and it is the dose accumulated over long time periods that will be crucial in determining cell survival. In terms of planetary protection, Table 1 shows that a contaminant population of terrestrial cells or spores deposited onto the Martian surface by a lander probe need only be blown under a thin layer of dust for protection from rapid deactivation by UV and they will survive the SEP and GCR flux for millennia. Over geological time-scales, though, even the most radioresistant populations are inactivated. At 2 m depth, for example, the ExoMars drill length [Vago et al., 2006], a *D. radiodurans* population dormant in regolith permafrost suffers a million-fold cull in 450,000 years. For the prospects of finding viable Martian microbes then, cells must either have been brought to the surface only recently, by outflow of deeper meltwater or exposure by impact excavation, for example, or else be able to periodically revive to repair radiation damage and reproduce, and so reset the inactivation clock.

[17] Episodic local geothermal events may melt pockets of near surface permafrost to allow transient bursts of metabolism and replication. Intriguingly, the gullies and seeps seen at high latitude suggest the recent transient presence of liquid water [Malin and Edgett, 2000]. Furthermore, calculations show the near-surface temperature of high latitude ice may be high enough to allow liquid water

**Table 1.** Summary of Doses and Microbial Survival Times at Depth

Depth, m	Surface Model <sup>a</sup>	Weighted Dose, <sup>b</sup> Gy/year	Survival Time, <sup>c</sup> years		
			<i>E. coli</i>	<i>B. subtilis</i>	<i>D. radiodurans</i>
0.0	DH	0.83	1,200	10,100	18,100
	PI	0.83	1,200	10,100	18,100
	WH	0.84	1,200	10,000	17,900
0.5	DH	0.27	3,800	31,700	56,600
	PI	0.40	2,500	21,000	37,500
	WH	0.34	2,900	24,500	43,800
1.0	DH	0.11	8,900	75,000	134,000
	PI	0.31	3,200	27,000	48,000
	WH	0.29	3,500	29,000	52,000
2.0	DH	0.02	43,000	370,000	650,000
	PI	0.17	5,900	50,000	90,000
	WH	0.03	30,000	250,000	450,000
5.0	DH	$6.5 \times 10^{-4}$	$1.5 \times 10^6$	$1.3 \times 10^7$	$2.3 \times 10^7$
	PI	$2.5 \times 10^{-2}$	$4.1 \times 10^4$	$3.4 \times 10^5$	$6.1 \times 10^5$
	WH	$2.0 \times 10^{-4}$	$5.1 \times 10^6$	$4.3 \times 10^7$	$7.6 \times 10^7$
10.0	DH	$2.3 \times 10^{-5}$	$4.3 \times 10^7$	$3.6 \times 10^8$	$6.5 \times 10^8$
	PI	$6.0 \times 10^{-4}$	$1.7 \times 10^6$	$1.4 \times 10^7$	$2.5 \times 10^7$
	WH	$3.4 \times 10^{-5}$	$3.0 \times 10^7$	$2.5 \times 10^8$	$4.5 \times 10^8$
20.0	DH	$6.7 \times 10^{-6}$	$1.5 \times 10^8$	$1.3 \times 10^9$	$2.2 \times 10^9$
	PI	$4.8 \times 10^{-5}$	$2.1 \times 10^7$	$1.7 \times 10^8$	$3.1 \times 10^8$
	WH	$6.8 \times 10^{-6}$	$1.5 \times 10^8$	$1.2 \times 10^9$	$2.2 \times 10^9$

<sup>a</sup>DH, dry homogenous; PI, pure ice; WH, wet heterogeneous.

<sup>b</sup>Not including radionuclide contribution. Values shown for depths >2 m are averaged over  $\pm 0.5$  m.

<sup>c</sup> $10^{-6}$  population reduction.

and thus metabolism during periods of high obliquity [Jakosky *et al.*, 2003]. However, liquid water is predicted during these times only in the top meter of ice, and at this depth populations of even radioresistant bacteria are inactivated in the order of tens of thousands of years, far short of the calculated obliquity shift frequency on the order of tens of millions of years [Laskar and Robutel, 1993].

[18] One site of particular astrobiological interest is the putative frozen sea observed on the plains of Elysium [Murray *et al.*, 2005]. This is believed to have been disgorged by the Cerberus Fossae only 5 million years ago [Murray *et al.*, 2005], rapidly freezing in the current climate, and presents an opportunity for our landers to sample water from much greater depths than they could otherwise access. Assuming cellular dormancy since soon after this discharge event, the PI model predicts a bore hole of around 7.5 m would be needed for any hope of retrieving culturable *D. radiodurans*-like bacteria.

[19] Ionizing radiation is also produced by radionuclide decay, and it has been calculated that the intrinsic radioactivity of Martian regolith produces a dose of  $4 \times 10^{-4}$  Gy/year [Mileikowsky *et al.*, 2000]. Within the WH regolith model this corresponds to a depth of  $\sim 4.5$  m at which the background activity begins to dominate over the GCR cascade. Thus beneath this depth dormant cells receive no further shielding benefit, and *D. radiodurans* can be inactivated by radioactivity of the regolith alone in under 40 million years. The near-zero radionuclide content of pure ice implies that frozen crater lakes or the polar caps are the most favourable environments for finding viable cells after long periods of dormancy.

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